



sector 4  
(3)

Patent  
Atty Docket No. 157003-0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Jeffrey YING**

**Serial No.:** 10/007,501

**Filed:** November 30, 2001

**For:** SYSTEM FOR REMOTELY  
CONTROLLING ENERGY  
DISTRIBUTION AT LOCAL SITES

)  
) **Group Art Unit:** Not yet assigned

)  
) **Examiner:** Not yet assigned

COPY OF PAPERS  
ORIGINALLY FILED

TRANSMITTAL LETTER

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

**I. DOCUMENTS ENCLOSED:**

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on January 7, 2002, enclosed are:

- ☒ Declaration.
- ☒ Power of Attorney.
- ☒ Assignment of the invention to Yingco Electronic Inc. (together with PTO Form 1595).
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f).
- ☐ Also enclosed: \_\_\_\_\_ is submitted herewith.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
January 28, 2002  
Date of Deposit

\_\_\_\_\_  
Connie Kwon  
Name of Person Mailing Paper  
\_\_\_\_\_  
Signature of Person Mailing Paper



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## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

Fee \$0.00

- ☒ No extension fee is required. If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES (including claims in the Preliminary Amendment)

- ☒ Applicant claims small entity status under 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$0.00
Total Claims	92	-	92	=	0 x \$18.00	\$0.00
Independent Claims	4	-	4	=	0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$130.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$65.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						\$40.00
<b>TOTAL FEES SUBMITTED HERewith</b>						\$105.00

## IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$105.00 is enclosed to cover the above fee(s).
- ☐ Charge Deposit Account No. 09-0946 in the amount of \_\_\_\_\_.



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The Commissioner is authorized to charge Irell & Manella's Deposit Account No. **09-0946** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **09-0946**.

Respectfully Submitted,

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Dated: January 28, 2002

Christopher A. Vanderlaan  
Registration No. 37,747

**IRELL & MANELLA LLP**  
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Los Angeles, CA 90067  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/007,501

11/30/2001

Jeffrey Ying

156886-0042

CONFIRMATION NO. 2250

## FORMALITIES LETTER



\*OC000000007274991\*

29000  
IRELL & MANELLA LLP  
1800 AVENUE OF THE STARS  
SUITE 900  
LOS ANGELES, CA 90067

Date Mailed: 01/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FILE COPY**

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Bib Data Sheet

CONFIRMATION NO. 2250

<b>SERIAL NUMBER</b> 10/007,501	<b>FILING DATE</b> 11/30/2001 <b>RULE</b>	<b>CLASS</b> 370	<b>GROUP ART UNIT</b> 2661	<b>ATTORNEY DOCKET NO.</b> 157003-0004
<b>APPLICANTS</b> Jeffrey Ying, Residence Not Provided;  <b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/903,403 07/10/2001  <b>** FOREIGN APPLICATIONS *****</b>  <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 01/07/2002				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials	<b>STATE OR COUNTRY</b>	<b>SHEETS DRAWING</b> 11	<b>TOTAL CLAIMS</b> 92	<b>INDEPENDENT CLAIMS</b> 4
<b>ADDRESS</b> 29000				
<b>TITLE</b> System for remotely controlling energy distribution at local sites				
<b>FILING FEE RECEIVED</b> 1060	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	